

**General Doctoral Regulations of
Friedrich-Alexander-Universität Erlangen-Nürnberg (FAU)
– RPromO –
dated June 27, 2024**

Amended by the statute dated
February 27, 2025

Based on Section 9 in conjunction with Section 96 (3)(1) and Section 97 (1)(6) Bavarian Higher Education Innovation Act dated August 5, 2022 (**BayHIG**), FAU enacts the following general doctoral regulations:

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I. Part I: General Provisions

Section 1 Scope

¹These General Doctoral Regulations (**RPromO**) regulate the procedure for awarding doctoral degrees at FAU (with the exception of the Doctor of Philosophy (PhD)). ²They apply in conjunction with the Faculty Doctoral Regulations (**FPromO**). ³The **FPromO** to be used in each case depends on the pursued doctoral degree. ⁴The procedure for awarding a PhD shall be guided as far as possible by the procedure set forth in this **RPromO** whilst taking the relevant degree program concepts into consideration. Details shall be stipulated in individual statutes.

Section 2 Doctoral Degrees

¹A doctoral degree involves independent research which is significantly more detailed than the requirements of a Master's examination or any other equivalent final examination. The doctoral degree is assessed by a written thesis (Section 11) as well as a formal examination procedure which determines the academic qualification of the candidate by evaluating the quality of the written doctoral thesis and the doctoral candidate's knowledge. ²The examination procedure consists of the assessment of the written thesis (Section 12) and the final oral examination (Section 13). ³Following successful completion of the doctoral procedure and the publication of the doctoral thesis (Section 17), the candidate shall be awarded a doctoral degree. ⁴The current version of the FAU regulations for safeguarding good scientific practice and dealing with scientific misconduct (hereinafter (**GSP regulations**)) shall apply to the doctoral procedure.

Section 3 Doctoral Titles

¹The faculties and schools entitled to award doctoral qualifications (hereinafter "Faculties") can award the following doctoral degrees to FAU candidates:

1. Doktor der Philosophie (Dr. phil.) from the Faculty of Humanities, Social Sciences, and Theology – excluding the School of Theology
2. Doktor der Theologie (Dr. theol.) from the School of Theology of the Faculty of Humanities, Social Sciences, and Theology
3. Doktor der Rechte (Dr. jur.) from the Faculty of Business, Economics, and Law
4. Doktor der Wirtschafts- und Sozialwissenschaften (Dr. rer. pol.) from the School of Business and Economics at the Faculty of Business, Economics, and Law
5. Doktor der Medizin (Dr. med.), Doktor der Zahnheilkunde (Dr. med. dent.) and Doktor der Humanbiologie (Dr. rer. biol. hum.) from the Faculty of Medicine
6. Doktor der Naturwissenschaften (Dr. rer. nat.) from the Faculty of Sciences
7. Doktor der Ingenieurwissenschaften (Dr.-Ing.) from the Faculty of Engineering.

²The title can be awarded as Doktor or Doktorin. ³The abbreviated form remains the same. ⁴A doctoral degree may also be awarded as an honorary degree; in this case it will be awarded with the abbreviation h.c. or another abbreviation specified in the **FPromO**.

Section 4 Bodies Responsible and Procedural Regulations

(1) ¹The composition of the examining committee and other bodies and their responsibilities is governed by the **FPromO**. ²Notwithstanding the provision stipulated in sentence 1, the decision according to Section 26 (1) shall be taken by the Faculty Council.

(2) ¹Section 30 of the University Constitution of Friedrich-Alexander-Universität dated June 20, 2007 (hereinafter: **Constitution**) shall apply to the affairs of the bodies responsible. ²The chairperson shall be entitled to take decisions that cannot be delayed on behalf of the bodies responsible. ³The chairperson shall inform the other members of the bodies responsible without delay if this is the case. ⁴The **FPromO** may stipulate that the bodies responsible are able to transfer responsibility for certain tasks to the chairperson or another member as well as revoke these.

(3) Abstention, delegation of votes and secret ballots shall not be permitted for decisions regarding examinations as part of the doctoral procedure, paragraph 2 shall not apply.

(4) ¹The bodies responsible shall ensure that the doctoral procedure is conducted in an appropriate manner and is not subject to delays. ²All decisions taken by the bodies responsible must be communicated to the doctoral candidate in writing. ³Decisions which result in disadvantages for the doctoral candidate must be justified and accompanied by information on legal remedies.

(5) ¹The bodies responsible are supported by an Office of Doctoral Affairs, that is also responsible for keeping the records. ²The procedural file contains the following documents in particular:

- submitted documents,
- formal decisions and notifications,
- Reviews and examination records,
- One copy of the thesis according to Section 10 (2)(2)(3)
- An electronic copy of the thesis.

³The documents must be retained by the office keeping the records for at least five years after completion of the procedure, they can be archived permanently in consultation with the University Archive.

Section 5 Supervisors and Reviewers

(1) ¹A supervisor shall be appointed for each doctoral proposal who shall agree upon the topic of the thesis with the candidate and supervise the doctoral research. ²Two or more reviewers shall be appointed to assess the submitted thesis depending on the relevant **FPromO**. ³The **FPromO** governs whether the supervisor of a doctoral procedure can also be a reviewer.

(2) ¹The following persons may be appointed as supervisors:

1. University lecturers employed at FAU as their main occupation
2. Professors on leave or retired professors who were primary or secondary members of the faculty administering the doctoral degree.
3. Privatdozent lecturers and adjunct professors who are employed at FAU as their main occupation pursuant to Section 53 (4) **BayHIG**.

²The **FPromO** may restrict the appointment of supervisors of the doctoral research as specified in sentence (1)(1) to primary and secondary members of the faculty. ³The

FPromo may restrict the right to supervise doctoral research pursuant to sentence 1 (2) and stipulate that anyone belonging to one of the above-mentioned groups of people who is on extended leave or retires may only see doctoral research projects that have already started through to the end but are not authorized to accept new doctoral research projects for supervision. ⁴The **FPromo** may permit part-time lecturers and individuals with doctoral degrees (in particular heads of junior research groups at FAU) who are able to continually supervise the doctoral research due to their employment at FAU or an institution associated with FAU to be appointed as supervisors on an individual or general basis. ⁴If the supervisor's membership at FAU is terminated or the supervisor no longer meets the requirements stipulated in sentence 4, the supervisor reserves the right to continue supervising any doctoral research admitted according to Section 9 (4) until completion. ⁶ Section 21 shall remain unaffected.

(3) ¹In cases in which the original supervisory relationship pursuant to paragraphs 1 and 2 ends prematurely (for example due to death), the doctoral candidate shall be responsible for finding a new supervisor. ²Any doctoral candidate who fails to find a new supervisor despite a genuine effort to that end shall receive support from the bodies responsible. ³If a suitable supervisor is still not available, the doctoral procedure shall be continued and completed without a supervisor provided the doctoral candidate wishes to complete the procedure at FAU. ⁴In this case, the requirement for the supervisor to agree to the initiation of the doctoral procedure pursuant to Section 10 (2)(1)(2) shall not apply.

(4) ¹The following persons may be appointed as reviewers:

1. University lecturers employed at FAU as their main occupation
2. Privatdozent lecturers and adjunct professors who are employed at FAU as their main occupation pursuant to Section 53 (4) **BayHIG**.
3. Professors on leave or retired professors who were primary or secondary members of the faculty administering the doctoral degree,
4. University lecturers whose main employment is at another institute of higher education that is entitled to award doctoral degrees,
5. Other university lecturers,
6. In exceptional cases, other persons with a doctoral degree entitled to act as an examiner according to Section 4, **HSchPrüferV**.

²The **FPromo** may stipulate that the appointment of persons according to sentence 1 (3) to (6) is subject to additional provisions and specify restrictions on the combination of reviewers.

Section 6 Adjustments to Examination Arrangements

(1) ¹The individual examination procedures involved in the doctoral procedure shall be adjusted to take into account the nature and extent of a student's disability. ²Students with a doctor's certificate showing credibly that they are either partially or fully incapable of sitting the examination in the intended manner due to long-term or permanent disabilities which do not affect the performance which is being tested shall be entitled to be granted permission by the chairperson of the bodies responsible to have this disadvantage offset by working time being extended accordingly or the examination process being structured differently. However, care must be taken to ensure that the examination is still suitable to provide evidence of skills which are being

assessed by the examination. ³A waiver of the oral examination or changing the form of examination in a way that is contrary to the purpose of an oral examination is not permitted. ⁴Sentences 1 to 3 shall apply accordingly to doctoral candidates in special circumstances, the effects of which on the doctoral candidate's ability to sit the examination are similar to a longer illness or disability as stated above in sentence 2.

(2) Adjustments to examination arrangements may be made for pregnant doctoral candidates if the candidate submits a medical certificate confirming that she will be at least 30 weeks pregnant by the examination date to the bodies responsible at least four weeks before the examination date.

(3) ¹Decisions according to paragraphs (1) and (2) shall only be taken by the chairperson of the bodies responsible upon written request. ²The doctoral candidate may be required to submit an official certificate from a medical examiner (vertrauensärztliches Attest) proving the fulfillment of the conditions in paragraph 1 or other proof confirming their special circumstances. ³Applications for adjustments to examination arrangements should be made to the bodies responsible no later than four weeks before the examination. ⁴The doctoral candidate must be informed of the decision in good time.

II. Part II: Admission to the Doctoral Degree

Section 7 Requirements for Admission, Provisional Admission

(1) ¹The candidate must have proof that they have completed a degree specified in **FPromO**. ²The **FPromO** can specify further subject-related admission requirements. ³In addition, the **FPromO** stipulates whether and to what extent the bodies responsible can allow exceptions to the prerequisites in sentences 1 and 2. ⁴If all other requirements stipulated in these doctoral regulations and the applicable **FPromO** are met, the respective bodies responsible may provisionally admit candidates with an outstanding undergraduate degree to a doctoral degree for a trial period provided the candidate can be proven to have considerable academic potential, for example from being involved in international doctoral degree programs with an emphasis on excellence, or in collaborations focusing on research or training and personal development. ⁵The **FPromO** may either stipulate further requirements for provisional admission or rule out the possibility of provisional admission entirely. ⁶Before the doctoral procedure is commenced, the respective bodies responsible shall come to a binding decision concerning the admission of the candidate, taking sentences 1 to 3, the requirements stipulated in these doctoral regulations and the applicable **FPromO** into consideration.

(2) ¹The equivalence of degrees from foreign universities is determined on the basis of the equivalence agreements approved by the Standing Conference of the Ministers of Education and Cultural Affairs of the Länder and the German Rectors' Conference. ²In cases of doubt, the Central Office for Foreign Education should be consulted. ³In cases to which sentence 2 applies and other cases in which equivalence has not yet been finally determined but in which a positive response from the Central Office for Foreign Education or the respective bodies responsible is to be expected, admission may be granted before the final decision regarding equivalence is passed, subject to the proviso that the degree is recognized as equivalent. ⁴If the degree is not recognized as equivalent, the provisional notice of admission shall be withdrawn and shall cease to apply.

Section 8 Qualifying Examination

(1) ¹The **FPromO** stipulates under which circumstances candidates who have not obtained a required degree according to Section 7 (1) in conjunction with the **FPromO** or whose degree is not considered as equivalent according to Section 7 (1)(3) in conjunction with the **FPromO** may be admitted to a doctoral degree if they have passed a qualifying examination. ²The **FPromO** can also stipulate a qualifying examination for degrees gained in a different subject. ³**FPromO** shall specify the type and scope of the qualifying examination.

(2) ¹The application for admission to the qualifying examination must be made in writing to the bodies responsible. ²The documents listed in Section 9 (2) shall be submitted with the application. ³The doctoral candidate shall declare in writing whether they have previously completed a qualifying examination in the same subject and the examination result. ⁴The **FPromO** can stipulate that other documents and declarations must be submitted.

(3) ¹The bodies responsible shall decide whether a candidate is admitted to the qualifying examination. ²Admission shall be refused if the candidate does not fulfill the admission requirements set forth in paragraph 1 or if the documents and declarations in paragraph 2 have not been submitted in full.

(4) If the candidate withdraws from the qualifying examination after admission, the qualifying examination shall be considered as failed, unless the bodies responsible accept valid and credible reasons submitted immediately in writing by the candidate for extenuating circumstances.

(5) If the candidate fails the qualifying examination or if it is considered failed, it can be repeated once.

Section 9 Admission to a Doctoral Degree, Temporary Admission

(1) ¹A written application for admission to a doctoral degree must be submitted to the bodies responsible after registering online. ²Doctoral candidates who have not already studied at FAU must approach the Graduate Center to arrange for their individual FAU email address and their personal account in the FAU Identity Management System (IdM) to be activated by the Erlangen Regional Computing Center. This email address shall then be used for electronic communication purposes; Section 2a of Friedrich-Alexander-Universität Erlangen-Nürnberg's agreement on enrollment, re-registration, leave and de-registration (**ImmaS**) shall apply accordingly. ³Doctoral candidates can enroll at FAU following admission to a doctoral degree pursuant to Section 94 (3)(1) (3) **BayHIG**. ⁴De-registration pursuant to Section 94 (2) **BayHIG** shall not affect the decision to accept the doctoral candidate or the doctoral procedure.

(2) ¹The application must contain the following documents and declarations:

1. A CV written in German that contains details of the candidate's educational background; the **FPromO** can also allow CVs in other languages;
2. Certificates of all previous university degrees and university entrance qualification;
3. Proof of having completed a degree in the subject in the form of academic records, certificates, diploma supplement or transcript of records;
4. Confirmation of supervision by the supervisor including the subject and provisional title of the doctoral thesis;

5. Declaration that the candidate has not previously failed the doctoral examination for the pursued doctoral degree at the final attempt;
6. Declaration whether the candidate has already passed a doctoral examination for the pursued doctoral degree;
7. Declaration that the current versions of the guidelines for safeguarding good scientific practice from the German Research Foundation (DFG) and the FAU regulations for safeguarding good scientific practice have been read and understood and that the respective provisions will be complied with during the procedure;
8. Declaration if and by whom the opportunity to carry out the doctoral research was commissioned for a fee; in the case of commissioned doctoral research, confirmation from the supervisor must be included that the supervision agreement was granted with knowledge of it having been commissioned and that no payment or non-cash benefit was offered or accepted for the commission.

²The **FPromO** can stipulate that other documents and declarations must be submitted.

³In the event that admission has already been granted provisionally, it is sufficient to submit the provisional offer of a place together with the missing or – in the case of any differences to the application for provisional admission – updated documents.

(3) (3) If the candidate is unable to provide the necessary documents in the form required as stipulated in paragraph 2, the bodies responsible may permit that the documents are submitted in another form.

(4) ¹The bodies responsible shall admit the candidate to the doctoral degree if the admission requirements are fulfilled according to Section 7 or the candidate has passed the qualifying examination and there are no grounds for rejection. ²Admission shall be denied if

1. The subject of the doctoral research is not represented at the faculty in question,
2. The documents to be submitted pursuant to paragraph 2 are incomplete and submission in another form pursuant to paragraph 3 is not possible,
3. The candidate has failed a doctoral examination for the pursued doctoral degree at the final attempt
4. The criteria for revoking a doctoral degree according to Section 101 (1) **BayHIG** are met.

³Admission can be granted with conditions that are to be governed by the **FPromO**.

(5) ¹Paragraph 4 notwithstanding, the **FPromO** may stipulate that the bodies responsible may impose a time limit on the admission of candidates to a doctoral procedure. ²If the **FPromO** includes a provision to this effect, admission pursuant to paragraph 4 without a time limit shall no longer be possible. ³If a time limit is stipulated, it must be between a minimum of 4 and a maximum of 6 years. ⁴Doctoral candidates must apply for an extension to the time limit before it expires if they wish to continue their doctoral procedure beyond the stipulated time limit. ⁵The first extension of two years shall be granted without a need for reasons to be stated. ⁶Further extensions of two years at a time may be granted if the doctoral candidate submits a justified request.

⁷A request pursuant to sentence 6 may only be rejected if the overall circumstances suggest that it is unlikely that the doctoral degree will be completed successfully. ⁸The supervisor must be consulted before a decision is made concerning an extension pursuant to sentence 6. ⁹Time taken as maternity leave, parental leave or periods spent providing care for a close family member shall be excluded from the deadlines pursuant to sentences 3 to 6. ¹⁰Further details, in particular the duration of the time limit, are stipulated in the **FPromO**.

(6) Admission to the doctoral degree on the basis of passing the qualifying examination is limited to the relevant subject.

(7) ¹If the doctoral proposal is withdrawn before initiation of the doctoral procedure according to Section 10, it will be considered as failed.

²All those involved in this specific doctoral proposal shall be informed accordingly in the event of a withdrawal.

III. Section: The Doctoral Procedure

Section 10 Initiation of the Doctoral Procedure

(1) Initiation of the doctoral procedure is subject to prior admission according to Section 9 (4) or (5).

(2) ¹The following documents must be submitted along with the application for initiation of the doctoral procedure:

1. Current CV according to Section 9 (2)(1)(1)
2. Agreement of the supervisor for initiation of the procedure
3. Thesis pursuant to Section 11 in the number of hard copies stipulated by the bodies responsible, but at least one hard copy and one machine readable copy in the format prescribed by the bodies responsible
4. In the case of admission subject to conditions (according to Section 9 (4)(3)), proof of fulfillment of the conditions
5. Complete and current list of all academic publications
6. Declaration of whether the male or female form is to be used on the doctoral degree in German.

²The candidate must confirm on submission of the application that the thesis and the academic achievements documented in it were produced independently and without unauthorized assistance and that the hard copy corresponds to the electronic version.

³Further, the following declarations must be submitted in writing:

1. The thesis has not been presented to another examining body, neither in full nor partially.
2. The doctoral examination for the pursued doctoral degree has not been failed before at the final attempt.
3. All sources and materials as well as portions of text that were cited from other works verbatim or in paraphrased form are designated as such.
4. The thesis may be stored electronically and used in order to verify citations. Digital aids, in particular plagiarism-detection software, may be used to check for plagiarism.
5. The doctoral candidate is aware that the doctoral title may only be used after the certificate is received and that the rights gained shall be revoked if the required copies are not submitted on time.

⁴The **FPromO** can stipulate that other documents and declarations must be submitted.

(3) ¹The bodies responsible ensure that the doctoral procedure is conducted correctly.

²A decision must be reached on the doctoral candidate's application within one month.

³The initiation of the doctoral procedure shall be refused if the required documents according to paragraph 2 are incomplete or if grounds for refusing admission according to Section 9 (4)(2) have become apparent.

(4) ¹If there are any important reasons, admission to the doctoral degree may be withdrawn with the agreement of the bodies responsible until all reviews have been submitted. ²In this case, the doctoral thesis shall be considered not submitted.

Section Requirements for the Doctoral Thesis

(1) The written component of the doctoral degree generally comprises one independently written, academic monograph demonstrating the ability of the doctoral candidate to examine questions in scientific research in a methodologically sound manner, solve problems independently and present research in an appropriate form (thesis).

(2) ¹The doctoral thesis must not be identical to an earlier final paper or any published essay but may expand upon existing research. ²Parts of the doctoral thesis may be pre-published, insofar as this is declared when the doctoral procedure is initiated and noted in the thesis. ³Section 13 (4) **GSP regulations** shall apply accordingly. ⁴In the event of a breach, the decision as to the consequences under examination law will lie with the appropriate bodies responsible. In particular, the thesis can be returned for revision. ⁵Repeated or serious breaches may be classed as equivalent to plagiarism and the thesis rejected as a result, irrespective of the evaluations submitted. ⁶Doctoral candidates shall be responsible for confirming that contractual agreements involving copyright do not prohibit publication during the doctoral procedure; the bodies responsible are entitled to request evidence that the candidate has performed this duty.

(3) ¹The **FPromO** stipulates whether and under which prerequisites a collection of articles which have already been published in recognized scientific journals or articles which have been accepted for publication (cumulative thesis) or an alternative written piece of doctoral research can be submitted instead of a doctoral thesis. ²If co-authored contributions are included in a written thesis within the meaning of sentence 1, the doctoral candidate must clearly indicate which parts of the thesis constitute their own work. ³The doctoral candidate shall provide confirmation of their authorship as well as the authorship of co-authors in writing or at least with an advanced electronic signature (AES) on the basis of a PDF document certificate.

(4) ¹The form of the thesis title page is specified in the **Appendix**. ²Theses written in a language other than German shall follow the German template, but will name first the title in the foreign language followed by the title in German.

(5) ¹The doctoral thesis shall be written in German. ²The thesis shall be provided with a table of contents, a German title and a detailed German summary indicating the contribution the doctoral candidate has made to scientific research, either independently or in the case of cumulative thesis possibly also in collaboration with co-authors. ³The **FPromO** may also stipulate more precise specifications for the details on the candidate's contribution to research stipulated in sentence 2. ⁴The doctoral thesis may be written in English with the written approval of the supervisor or in another language with the approval of the bodies responsible, insofar as it can be reviewed in the other language. ⁵A thesis written in a foreign language shall be provided with a table of contents, a title and an abstract written in the language admitted pursuant to sentence 3; unless stipulated otherwise in the **FPromO**, if the thesis is written in a language other than German, a comprehensive abstract in German as well as a German translation of the title in the foreign language shall also be submitted together with the thesis. ⁶The thesis shall be bound and submitted in a form ready for publication with page numbers. ⁷The literature used and any other aids must be listed in full.

Section 12 Evaluation, Acceptance and Rejection of the Thesis

(1) ¹The bodies responsible shall appoint two reviewers according to Section 5 (4). ²In cases in which the doctoral procedure is continued without a supervisor pursuant to Section 5 (3)(3), the bodies responsible shall also appoint one external reviewer and one reviewer from FAU. ³The bodies responsible shall dismiss reviewers if the requirements for appointment are not met or subsequently fail to be met. ⁴The bodies responsible can also dismiss reviewers for cause. ⁵The decision to dismiss a reviewer from the doctoral procedure shall be stated in writing, giving reasons for the decision. ⁶The affected reviewer shall be given the opportunity to make a statement before the decision is finalized. ⁷In the event that the reviewer is dismissed after submitting the evaluation, the bodies responsible shall decide whether the reason justifying dismissal renders the evaluation unusable and means that a replacement evaluation must be submitted by a newly appointed reviewer.

(2) ¹The reviewers shall each draft an independent written evaluation, or an evaluation with at least an advanced electronic signature (AES) on the basis of a PDF document certificate, grade it in accordance with the grade scale stipulated in the **FPromO** and recommend whether the thesis should, in their opinion, be accepted or rejected; if the evaluation is not worded clearly or does not come to a clear conclusion, the bodies responsible may ask the reviewer to re-write it. ²The evaluations must be written independently; the bodies responsible may take action as stipulated in paragraph (1)(3) against any reviewers who fail to observe this requirement. ³The evaluations should be presented within three months. ⁴**FPromO** can shorten the period specified in sentence 3 and impose further requirements on the review.

(3) ¹The bodies responsible can appoint an additional reviewer from the persons named in Section 5 (4), if the grades awarded in the first and second evaluation differ by more than one grade level. ²The **FPromO** can stipulate other cases in which another review must be submitted.

(4) ¹If all reviewers suggest that the thesis should be accepted, the thesis shall be displayed publicly within the faculty with all evaluations and documents according to Section 10 (2). ²The following people are entitled to view the displayed thesis: all university lecturers, Privatdozent lecturers and adjunct professors who are employed at FAU as their main occupation pursuant to Section 53 (4) **BayHIG** and who are members of the Faculty and all professors on leave and retired professors who used to be members of the Faculty (eligible parties); the **FPromO** may stipulate further eligible parties. ³The eligible parties shall be informed about the display of the thesis and the display period at least two weeks in advance. ⁴The **FPromO** may stipulate provisions governing the maximum amount of time the thesis should remain on display, ⁵The doctoral thesis may also be displayed electronically. In this instance, suitable measures shall be taken to protect the thesis and the other documents pursuant to sentence 1 from unauthorized access and transfer. ⁶Within the display period, the eligible parties are entitled to submit a statement evaluating the doctoral thesis to the bodies responsible. The reasons for this statement must be given in writing within two weeks. ⁷If a critical evaluation is submitted pursuant to sentence 5, the bodies responsible shall decide if the thesis is to be accepted, rejected, or handed back to the author for revision. ⁸The bodies responsible can request an additional evaluation before making this decision. ⁹If a critical evaluation is not received within the period specified in sentence 3, the thesis shall be considered as accepted and the procedure shall continue. ¹⁰The bodies responsible may accept the thesis subject to conditions;

if the conditions are not met within the set deadline, the thesis shall be considered rejected.

(5) If all reviewers recommend that the thesis should be rejected, the bodies responsible shall decide whether the thesis is to be rejected or handed back to the author for revision.

(6) ¹In any circumstances other than those described in paragraph 4 (1) or paragraph 5 and Section 18, the bodies responsible shall decide based on the reviews whether the thesis is handed back to the author for revision, rejected, or whether the procedure of displaying the thesis according to paragraph 4 (2) et seq. should continue; paragraph 4 (9) shall not apply in this case. ²The bodies responsible can request an additional evaluation before making this decision.

(7) ¹If the bodies responsible decide to reject the thesis, the examination shall be considered as failed and the procedure ended. ²If the thesis is returned to the author for revision, the doctoral candidate can submit their revised thesis within a period of one year; the revised thesis is generally evaluated by the same reviewers. ³Returning the thesis again for revision is not permitted. ⁴If a thesis is not submitted within the deadline, the examination shall be graded as failed at the final attempt.

(8) Notwithstanding Section 7 (1), the doctoral candidate can reapply for admission to the doctoral procedure with a thesis on a new subject once only within one year of the rejection of their thesis without having to re-apply for admission to a doctoral degree pursuant to Section 9.

Section 13 Oral Examination

(1) ¹If the thesis is accepted, the doctoral candidate is admitted to the oral examination. ²The doctoral candidate must be invited to attend the oral examination at least one week before the date of the examination. ³The bodies responsible shall appoint the members of the examining committee pursuant to the **FPromO** and inform the candidate. ⁴If an oral examination covers several subjects, an examiner shall be appointed for each subject.

(2) ¹**FPromO** shall specify the type and scope of the oral examination. ²The **FPromO** shall also regulate which part of the oral examination eligible parties of the Faculty (Section 12 (4)(2)) may attend as listeners, and may permit a wider audience. ³The **FPromO** may stipulate that at the request of the doctoral candidate, listeners may also use audiovisual telecommunication technology to participate in the public part of an on-campus oral examination if all those involved in the oral examination agree (examining committee and doctoral candidate). ⁴If this is the case, measures must be introduced to ensure that no images are shown of the listeners attending the examination in person. ⁵Section 14 (2) paragraph (3) sentences 2 and 3 and paragraph (6) shall apply accordingly in such cases.

(3) ¹A transcript shall be kept of the examination that contains the topic and result of the examination, according to subjects, if applicable. ²If an oral examination is held using audiovisual telecommunication technology pursuant to Section 14, this shall be referred to in the transcript.

(4) ¹The oral examination is passed when the doctoral candidate has achieved at least

the grade “rite” or “sufficient” in every part of the oral examination. ²The examination is failed if the doctoral candidate does not attend the examination on the examination date without due reason; the bodies responsible shall take this decision. ³The reasons according to sentence 2 shall be explained in writing and shown credibly to the bodies responsible without delay. ⁴If the reasons are accepted, a new date shall be set. ⁵In case of an inability to sit the examination occurring before or during the examination, the examining committee and the bodies responsible shall be notified immediately. ⁶In cases where the student is unable to sit an examination due to illness, a certificate from an official medical examiner (vertrauensärztliches Attest) may be required. ⁷The doctor’s certificate or certificate from an official medical examiner must include a description of the student’s ailment and state how this affects the student’s ability to perform to their full potential during the examination, especially due to a reduction in their physical or mental capacity. ⁸In case of withdrawal from an examination due to illness after the examination has started (termination of an examination), the student must submit a certificate from an official medical examiner to the Examinations Office without delay.

(5) ¹After the oral examination, the chairperson of the examining committee shall inform the doctoral candidate of the grade achieved in the oral examination. ²If the doctoral candidate fails the examination or the examination is considered as failed, the bodies responsible shall inform the doctoral candidate in writing to this effect and provide details of the further procedure and deadline for resitting the examination if this is permitted.

Section 14 Use of Audiovisual Telecommunication Technology; Remote Examinations

(1) ¹The **FPromO** may stipulate that the oral examination is to be conducted using audiovisual telecommunication technology pursuant to paragraphs (2) to (6) or as a remote examination pursuant to paragraph (7) in accordance with the following paragraphs if agreed beforehand with the doctoral candidate. ²Agreement for the procedure according to paragraphs (2) to (6) shall be obtained in good time before the oral examination and shall be granted in writing or by email; this shall be included in the examination records.

(2) ¹No more than one member of the examining committee may participate in the oral examination using audiovisual telecommunication technology; the chairperson and the doctoral candidate must attend in person, however. ²The reasons for being unable to attend in person must be substantial and evidence must be provided to the chairperson of the Doctoral Affairs Committee if they are not self-evident. ³The chairperson of the Doctoral Affairs Committee shall decide whether the submitted reasons are to be accepted or not, the essence of the decision shall be included in the examination records. ⁴Before the start of the oral examination, suitable measures must be taken to identify the member of the examining committee who is attending from another location.

(3) ¹Suitable technical measures shall be implemented to ensure that the chosen transmission method will guarantee audiovisual communication between everyone involved in the oral examination without interruption. In particular, it must be ensured that the member who is present at another location can immediately and directly see and hear the candidate and all other participants and be able to follow how the examination is progressing at all times. ²The use of transmission methods that allow

transmission to an audience on a scale beyond the scope of this **RPromO** or that of the **FPromO** is not permitted. ³Suitable technical methods must be used to protect the transmission from unauthorized access.

(4) ¹Oral examinations conducted according to these regulations are usually held in rooms at FAU dedicated to this purpose to ensure the relevant infrastructure is available. ²The member of the examining committee attending from another location shall remain for the duration of the oral examination in premises suitable for the occasion fitted out with the necessary technical equipment (rooms at another institute of higher education, another research institution or a comparable workplace). ³The necessity to protect the confidential nature of the oral examination shall be borne in mind when choosing the location. ⁴The chairperson of the examining committee shall immediately raise an objection if the location which is chosen is not suitable for the occasion. If the situation can be remedied at short notice, the oral examination shall be interrupted, if not, the oral examination shall be repeated.

(5) ¹In the event that a connection cannot be made to the member of the examining committee attending from another location, the connection is terminated or interrupted for a considerable amount of time or the transmission is seriously interrupted for any other reason the examination shall be repeated. ²If a significant part of the examination achievement has been completed before the interruption, the examination can be continued and completed by telephone without using a video conferencing system.

(6) ¹The oral examination may not be recorded, saved or reproduced using audio or video recordings or in any other manner. ²Personal data may only be processed to the extent absolutely necessary for conducting the examination correctly. ³The scope of processing depends on the electronic means of communication used for the examination; further details shall be announced when the method to be used pursuant to paragraphs (2) to (6) is announced. ⁴Section 4 **BayFEV** shall apply accordingly. ⁵Those involved in the examination shall be explicitly advised of the possibility to exercise their rights as a data subject pursuant to Art. 12 to 21 General Data Protection Regulation (GDPR). ⁶The contact person for exercising rights pursuant to sentence 6 is the data protection officer at FAU.

(7) Remote examinations shall be governed by the currently valid versions of the FAU regulations on remote examinations on the basis of the Bavarian regulation for testing remote examinations (BayFEV) – **EFernPO**.

Section 15 Resitting the Oral Examination

(1) ¹If the oral examination is failed or considered failed, the student can apply to resit the examination once only, at the earliest one month after the examination. ²The resit examination must be completed within one year. ³If the doctoral candidate has failed to achieve the grade “rite” or “ausreichend” (sufficient) in only one subject in an examination with several subjects, only the failed subject shall be repeated in the resit. ⁴The doctoral candidate can apply for the bodies responsible to reduce the resit period or extend the resit period if the doctoral candidate must resit the examination due to extenuating circumstances.

(2) ¹If the resit of the oral examination is failed or if it is considered failed, the doctoral procedure shall be considered failed and terminated. ²A second resit of the oral examination shall not be permitted.

Section 16 Results of the Doctoral Procedure, Notification

(1) ¹The doctoral examination shall have been passed when the doctoral thesis is accepted and the oral examination has been passed. ²The overall grade of the doctoral degree is determined and defined by the bodies responsible on the basis of the **FPromO** taking the weighted individual grades and statements pursuant to Section 12 (4)(6) into account.

(2) Subject to the provisions in Section 18, the bodies responsible may declare the doctoral examination failed if the doctoral candidate is involved in an act of deception or engages in significant misconduct during the oral examination.

(3) ¹The doctoral candidate shall be notified of the results of the doctoral procedure including all individual grades. ²This notification does not authorize doctoral candidates to use the title of Doctor.

Section 17 Thesis Publication and Submission of Mandatory Copies

(1) After passing the oral examination, the doctoral candidate shall be obligated to have the version of the thesis as accepted by the bodies responsible printed or photocopied and distributed at their own expense and under observation of all conditions.

(2) The doctoral thesis must be marked as originating from FAU. The original title of the doctoral thesis shall be included at a suitable position if the thesis is published under a different title.

(3) ¹Before publication, the candidate must submit the final version of the thesis in an electronic form and the manuscript to the supervisor. ²In all cases other than those covered in Section 5 (3), the supervisor shall release the final version of the thesis for publication once all conditions in Section 12 (4)(9) are fulfilled. ³In the event of a case governed by Section 5 (3), the bodies responsible shall release the final version of the thesis for publication (after consulting with reviewers) once all conditions stipulated in Section 12 (4)(9) are met.

(4) ¹The final, approved version of the thesis shall be submitted to the University Library in one of the following publication forms:

1. Six copies if the thesis is published as a complete book by a commercial publisher as a book with an ISBN number and the publisher confirms a minimum circulation of 150 copies if demand is sufficient
2. Six copies if the complete thesis is published by FAU University Press in print or electronically, or
3. A copy of the thesis in an electronic format specified by the University Library; if the thesis is submitted electronically, the doctoral candidate grants the University the right to copy, electronically transmit and convert the thesis into other formats within the scope of its official business.

²In the case of a cumulative thesis pursuant to Section 11 (3) in conjunction with the respective **FPromO**, the obligation to publish pursuant to sentence 1 does not apply to individual articles which have been accepted for publication and are currently in print and those which have already been published in electronic journals. ³The **FPromO** may stipulate that texts have to be provided as a framework to the individual articles in a cumulative thesis, providing an introduction, outlining the connection between the publications and/or explaining how the results should be seen in the context of the subject. If this is the case, it is sufficient for such texts to be published with a reference

to the individual articles which have been published. ⁴**FPromO WW** may stipulate provisions deviating from sentences 1 to 3 for a cumulative thesis pursuant to Section 10 **FPromO WW**.

(5) ¹The version of the thesis accepted by the bodies responsible shall be published under observation of all conditions pursuant to paragraph 1 within one year of the oral examination being passed. ²The deadline of one year may be extended once only to a maximum of one additional year in extenuating circumstances if the student submits a written request to the bodies responsible before the deadline is reached; the **FPromO** can allow additional extensions to the deadline. ³If the doctoral candidate fails to meet the deadline, all rights and privileges granted by passing the examination shall be withdrawn.

(6) ¹In the case of paragraph 4 (3), the bodies responsible pursuant to the **FPromO** may also deem the requirements pursuant to paragraph 1 to have been met if there is a delay in the thesis being made available to the public due to a pending patent application or to publication in a scientific journal by the doctoral candidate. ²The prerequisite is that the submission requirements stated in paragraph 4 are met entirely, the latest date of publication is clearly stated in the non-disclosure notice pursuant to paragraph 7 and the thesis can be published independently by the University Library.

(7) ¹Using a form issued by the University Library, the doctoral candidate and supervisor can apply to the bodies responsible pursuant to the **FPromO** for a non-disclosure period of up to one year and have it extended for a further year at a time in the same way. ²The embargo can be extended for a longer period of time in exceptional cases if permitted under the relevant **FPromO**. Further information on which circumstances qualify and applicable deadlines are stipulated in the **FPromO**. ³The notice of approval shall be submitted to the University Library together with the contract of publication.

Section 18 Fraud/Plagiarism

¹If it becomes clear before the doctoral degree certificate is conferred that admission to the doctoral degree was granted on the basis of deliberately incorrect information given by the doctoral candidate, the admission to the doctoral degree may be withdrawn and the doctoral achievements may be graded “failed”, and the procedure will end. ²Sentence 1 shall also apply if when writing the doctoral thesis or in the oral examination the doctoral candidate had unauthorized assistance, was involved in fraud or committed a serious breach of other academic standards as set forth in the **FAU regulations for safeguarding good scientific practice (GSP regulations)**, in particular if plagiarism can be proven. ³Unauthorized assistance pursuant to sentence 2 shall cover all aids that are suited to replace the doctoral candidate’s independent efforts to complete their doctoral research in whole or in part unless they have been expressly allowed by the supervisor and the bodies responsible and the **FPromO** does not stipulate otherwise. ⁴The thesis must clearly indicate where and to what extent the permissible aids pursuant to sentence 3 were used. ⁵When taking a decision pursuant to sentence 2 in cases of plagiarism, the bodies responsible shall take any available evaluations pursuant to Section 12 into account. ⁶If other investigations are required to clarify the claims of fraud or plagiarism, the doctoral procedure will be suspended whilst further steps are taken to investigate the circumstances of the case. ⁷When investigating the circumstances of each individual case, not only shall all available evaluations be considered, but the bodies responsible shall also commission at least

one additional (external) evaluation explicitly on the question of whether fraud/plagiarism has taken place before taking the final decision as to whether the doctoral degree has been failed. ⁸In serious cases, the bodies responsible may also exclude the doctoral candidate from completing any other doctoral degree at the Faculty. ⁷If the bodies responsible decide not to give the doctoral degree the grade “fail”, the procedure shall be continued from where it was suspended; Section 12 (7) sentence 1 shall apply accordingly.

Section 19 Completion of the Doctoral Degree

(1) ¹The doctoral procedure is completed when all of the requirements are fulfilled and the doctoral candidate has been awarded a doctoral degree certificate. ²The candidate may only assume the title of the doctoral degree after receiving the doctoral degree certificate.

(2) ¹The doctoral degree certificate confirms completion of the doctoral degree, stating the title of the thesis, the day on which the oral examination was passed, and the overall grade of the doctoral degree. ²It is signed by the President of FAU as well as by a member of the faculty as specified by the **FPromO**. ³Further, the form and content of the certificate are stipulated by the **FPromO**.

(3) ¹The doctoral degree certificate may be issued provisionally with the agreement of the bodies responsible before meeting publication obligations pursuant to Section 17 (1) if Section 17 (4)(1) and Section 17 (4)(2) apply and it is proven that the thesis will be published by a recognized academic publisher, the University Press or as part of a scientific collection or series. ²The obligation to publish in accordance with the deadline according to Section 17 (5) shall remain unaffected.

IV. Section: Honors

Section 20 Honorary Doctoral Degrees

(1) ¹The bodies responsible can award an honorary doctoral degree for worthy and extraordinary academic achievements. ²Further details are stipulated in the **FPromO**.

(2) ¹The President of FAU and the Dean of the faculty or the speaker of the school award the honorary doctoral degree by presenting a certificate to the honoree during a ceremony. ²The special academic achievements of the honoree must be recognized in the certificate.

V. Section: Collaborations with Universities of Applied Sciences and Art Schools

Section 21 Cooperative Doctoral Degrees/Joint Doctoral Degrees

(1) ¹Section 97 (1)(5), **BayHIG** permits the bodies responsible to appoint professors from a university of applied sciences (Fachhochschule) or art schools (Kunsthochschule) as a reviewer or supervisor within the context of a cooperative doctoral degree. ²Supervision of doctoral research is only possible if continuous subject-specific mentoring can be ensured for the duration of the doctoral research.

(2) ¹Paragraph 1 shall apply accordingly for joint doctoral degrees. ²Further details are stipulated in a cooperation agreement.

(3) All decisions and measures to be taken during the above-mentioned doctoral research must recognize FAU's status as holding the right to award doctoral degrees.

VI. Section: Doctoral Degrees in Cooperation with Foreign Universities

Section 22 General

(1) ¹The doctoral degree can also be awarded with joint supervision from a research institution abroad with the right to award doctoral degrees (partner institution). ²This requires that

1. An agreement has been concluded concerning joint international supervision of the doctoral research, including a stay of at least six months in the partner institution.
2. The candidate is admitted to a doctoral degree according to Section 9 and is qualified under the relevant regulations of the partner institution for admission to a doctoral degree.

(2) ¹The doctoral thesis can be submitted to FAU or the partner institution. ²Grades shall be determined pursuant to the regulations of the institution to which the doctoral thesis is submitted. ³The other institution determines the equivalent grades pursuant to its own doctoral regulations.

(3) ¹In the event of a cooperation project involving several international institutions, several partner institutions may share responsibility for supervising and conducting the doctoral procedure, provided the prerequisites stipulated in paragraph 1 are met by all partner institutions. ²Paragraph 2 and Sections 23 to 25 shall apply accordingly; in particular, the exact extent to which the individual institutions are involved in the procedure and the applicable provisions must be stipulated explicitly in the agreement pursuant to paragraph 1 (2). ³All involved institutions shall be included in the examination procedure.

Section 23 Examinations Procedure at FAU

(1) ¹If the thesis is submitted to FAU, it shall be examined by a supervisor according to Section 5 (2) and a qualified member of the partner institution. ²The full details of joint supervision are specified in the agreement according to Section 22 (1)(2)(1). ³The agreement pursuant to Section 22 (1)(2) can also stipulate provisions contrary to individual provisions in these General Doctoral Regulations in conjunction with the relevant **FPromO**. ⁴The provisions of these general doctoral regulations may only be deviated from in this way if the bodies responsible have expressly agreed to the deviation.

(2) ¹If the thesis is accepted according to Section 12, the thesis shall be submitted to the partner institution for agreement on continuing the doctoral procedure. ²If the partner institution accepts the thesis, the oral examination shall take place according to Section 13. ³To this end the bodies responsible shall appoint to the examining committee at least one examiner qualified according to the regulations of the partner institution.

(3) If the thesis is accepted by FAU but the partner institution refuses to continue the doctoral procedure, the joint doctoral procedure comes to an end; the doctoral procedure shall be continued pursuant to the conditions of these doctoral regulations.

(4) Publication of the thesis and submission of the mandatory copies of the thesis are governed by Section 17 and specific agreements made according to Section 22 (1)(2)(1).

Section 24 Examinations Procedure at the Partner Institution

(1) ¹If the thesis is submitted to the partner institution, it shall be supervised by a qualified member of the partner institution and a supervisor according to Section 5 (2). ²The doctoral regulations of the partner institution shall apply for the doctoral procedure in this case. ²The full details of joint supervision are specified in the agreement according to Section 22 (1)(2)(1).

(2) ¹If the doctoral thesis is accepted by the partner institution, it shall be submitted to the bodies responsible at the relevant faculty of FAU for agreement on continuing the doctoral procedure. ²If the bodies responsible agree, the oral examination shall be held at the partner institution in accordance with the provisions of that institution. ³The agreement according to Section 22 (1)(2)(1) must stipulate that the supervisor from FAU must belong to the examining committee for the oral examination. ⁴In certain exceptional cases, the provision in sentence 3 can be waived by stating that another person eligible to be an examiner pursuant to the provisions of the respective **FPromO** can be appointed an examiner instead of the supervisor.

(3) ¹If the thesis is accepted by the partner institution, but the bodies responsible at FAU refuse to continue the doctoral procedure, the joint doctoral procedure comes to an end. ²The doctoral procedure may be continued pursuant to the provisions of the partner institution.

(4) ¹The provisions of the partner institution shall apply for the publication of the doctoral thesis and submission of mandatory copies. ²The agreement according to Section 22 (1)(2)(1) specifies how many mandatory copies shall be submitted to FAU. ³In every case, one copy of the written thesis shall remain in the examination records. ⁴The faculty reserves the right to only issue the doctoral degree certificate according to Section 25 once this copy has been presented.

Section 25 Joint Doctoral Degree Certificate

(1) ¹After a joint doctoral procedure has been completed, the faculty at FAU administering the degree and the faculty at the partner institution issue a joint degree certificate that states that the doctoral degree was jointly supervised. ²It bears the signatures and seals required pursuant to the provisions of these doctoral regulations as well as those of the partner institution.

(2) In place of a joint certificate, individual certificates may be issued by the faculty at FAU administering the doctoral degree and the partner institution that clearly state that both certificates together form a joint doctoral degree certificate.

(3) The joint doctoral degree certificate shall state that the graduate is entitled to assume the doctoral title according to Section 3 (1) in Germany and assume the

equivalent doctoral title in the foreign country.

(4) ¹Further details on the format of the certificate are regulated by the agreement according to Section 22 (1)(2)(1).

²This section also includes regulations on grade equivalence. ³The joint doctoral degree certificate shall contain the equivalent foreign grades with the relevant labeling.

VII. Section: Invalidity and Revocation of Doctoral Degrees

Section 26 Invalidity of Doctoral Degrees

(1) If after the doctoral degree certificate is awarded it becomes apparent that the doctoral candidate gave incorrect or incomplete information and therefore did not fulfill the admission requirements for a doctoral degree or that the doctoral candidate had unauthorized assistance, was involved in fraud or committed significant misconduct with respect to academic standards whilst writing the thesis or during the oral examination, the Faculty Council (Section 4 (1)(2)) can declare the examination as having been failed retrospectively and the doctoral degree invalid.

(2) ¹If after the doctoral procedure is completed there is due reason to suspect scientific misconduct pursuant to (1) or Section 18 of the **GSP regulations**, the bodies responsible pursuant to Section 4 (1)(1) will immediately call for an investigation as set forth in Section 25 **GSP regulations** (ombudsman proceedings). ²The bodies responsible pursuant to Section 4 (1)(1) and the Executive Board of the University shall be informed of the status of the proceedings (ombudsman proceedings, initial analysis, formal investigation pursuant to Sections 25, 26, 27 **GSP regulations**) and of the final outcome.

(3) ¹If the Committee for the Investigation of Scientific Misconduct considers that scientific misconduct has been proven, the President shall forward the report including all recommendations (Section 27 (5) sentences 1 and 2 **GSP regulations**) to the bodies responsible pursuant to Section 4 (1)(1); the date the report is received shall be recorded. ²The bodies responsible pursuant to Section 4 (1)(1) shall give the Faculty Council a recommendation for which decision to take. ³They are entitled to take suitable measures, in particular obtaining further evaluations from part-time or full-time university lecturers experienced in supervising doctoral research in a relevant subject. ⁴The evaluations must contain a recommendation of which decision to take. ⁵The reviewer is entitled to inspect examination records. ⁶The reviewer must be appointed as a reviewer through an agreement if this responsibility is not already part of their office or their role as a public servant.

(4) ¹After being requested to do so or after the bodies responsible pursuant to Section 4 (1)(1) have completed investigations and are ready for a final decision to be made, the Faculty Council shall generally make a decision pursuant to paragraph 1 within a period of three months. ²The Faculty Council is not bound to previous recommendations; paragraph 3 sentences 3 to 6 shall apply accordingly. ³The Commission for the Investigation of Scientific Misconduct shall be informed of the result.

(5) ¹In exceptional cases, for example in the event of obvious breaches of recognized principles of good scientific practice or in cases in which the person concerned admits

to the allegations, at the suggestion of the bodies responsible pursuant to Section 4 (1)(1) and with the approval of the President, the Faculty Council can make a decision pursuant to paragraph 1 without waiting for the results from other people or committees involved in the investigation. ²In this instance, the Faculty Council shall obtain at least one evaluation from a part-time or full-time University lecturer who is not a member of FAU. This requirement can only be waived if approved by the President. ³The Commission for the Investigation of Scientific Misconduct shall be informed of the type of procedure chosen pursuant to this paragraph and the result of this procedure.

(6) ¹The person concerned shall be notified in good time and given the opportunity to make a statement before a decision is taken pursuant to paragraph 1. ²Before making the statement, he or she shall be given appropriate access to any evaluations which have been submitted. ³Before a decision is taken, the chairperson of the bodies responsible pursuant to Section 4 (1)(1) shall be given the opportunity to make a statement before the Faculty Council and on the evaluations. ⁴The chairperson of the Commission for the Investigation of Scientific Misconduct or individual members appointed by the Commission shall be consulted for advice during Faculty Council meetings required pursuant to these regulations.

(7) The President is responsible for informing the public about the investigation and its results and for responding to corresponding inquiries, taking into account the relevant data protection regulations, in consultation with the faculty concerned.

(8) If the examination is declared as having been failed and the doctoral degree invalid pursuant to paragraph 1, a doctoral degree certificate that has already been issued must be returned without delay.

(9) ¹The decision to revoke the doctoral degree shall be made within one year of the report from the Committee for the Investigation of Scientific Misconduct being submitted to the bodies responsible pursuant to Section 4 (1)(1) as set forth in paragraph 3(1). ²This does not apply if new facts or evidence become apparent at a later date that, alone or in conjunction with the previously gathered evidence, would have justified a significantly different decision or if the particular severity of the violation or the complexity of procedure, also taking the interests of the person involved that are worthy of protection, so demand. ³A decision to reopen the procedure, to return to a status of the procedure according to these regulations and the legal consequences is made by the Faculty Council in agreement with the Executive Board.

Section 27 Revocation of Doctoral Degrees

The revocation of doctoral degrees shall be governed by Section 101, **BayHIG**.

VIII. Section: Final Provisions

Section 28 Legal Validity, Transitory Provisions and Amendments

(1) ¹These regulations shall come into effect on July 1, 2024. ²They shall apply to all doctoral proposals for which an application for admission is submitted pursuant to Section 9 after these regulations come into effect. ³In addition to sentence 2, these General Doctoral Regulations also apply to doctoral candidates whose doctoral procedures have already been admitted but have not yet been initiated by the date these regulations come into effect, if they do not submit a written declaration to the relevant Office of Doctoral Affairs by April 30, 2025 at the latest that they would like to

terminate their doctoral procedure according to the version of the General Doctoral Regulations that applies to them dated January 21, 2013 and last amended by the statute dated December 1, 2021.

(2) Any changes to these General Doctoral Regulations require agreement from the Faculty Council of all faculties at FAU.

(3) The first amendment statute shall come into effect on July 1, 2024.

Appendix

Sample of the thesis title page

Cover page:

Design according to the FAU Corporate design manual on the FAU websites.

1st page

Topic of research

Faculty X/ Department X
at Friedrich-Alexander-Universität Erlangen-Nürnberg
for
A doctoral degree Dr.
Submitted by
(First name and last name of author)
born in (place of birth)*

2nd page

Approved as a doctoral thesis
By the Faculty of / Department of ...
at Friedrich-Alexander-Universität Erlangen-Nürnberg
Oral examination date:

Reviewer:
Prof. Dr.**
Prof. Dr.**

*Not for the published version

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